## Effects of Yoga on Attention, Impulsivity, and Hyperactivity in Preschool-Aged Children with Attention-Deficit Hyperactivity Disorder Symptoms

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ABSTRACT: Objective: Behavioral therapies are first-line for preschoolers with attention-deficit hyperactivity disorder (ADHD). Studies support yoga for school-aged children with ADHD; this study evaluated yoga in preschoolers on parent- and teacher-rated attention/challenging behaviors, attentional control (Kinder Test of Attentional Performance [KiTAP]), and heart rate variability (HRV). Methods: This randomized waitlistcontrolled trial tested a 6-week yoga intervention in preschoolers with  $\geq$ 4 ADHD symptoms on the ADHD Rating Scale-IV Preschool Version. Group 1 (n = 12) practiced yoga first; Group 2 (n = 11) practiced yoga second. We collected data at 4 time points: baseline, T1 (6 weeks), T2 (12 weeks), and follow-up (3 months after T2). Results: At baseline, there were no significant differences between groups. At T1, Group 1 had faster reaction times on the KiTAP go/no-go task (p = 0.01, 95% confidence interval [CI], -371.1 to -59.1, d =-1.7), fewer distractibility errors of omission (p = 0.009, 95% CI, -14.2 to -2.3, d = -1.5), and more commission errors (p = 0.02, 95% CI, 1.4–14.8, d = 1.3) than Group 2. Children in Group 1 with more severe symptoms at baseline showed improvement at T1 versus control on parent-rated Strengths and Difficulties Questionnaire hyperactivity-inattention ( $\beta = -2.1$ , p = 0.04, 95% Cl, -4.0 to -0.1) and inattention on the ADHD Rating Scale ( $\beta = -4.4$ , p = 0.02, 95% CI, -7.9 to -0.9). HRV measures did not differ between groups. Conclusion: Yoga was associated with modest improvements on an objective measure of attention (KiTAP) and selective improvements on parent ratings.

(J Dev Behav Pediatr 39:200-209, 2018) Index terms: ADHD, yoga, preschool.

Attention-deficit hyperactivity disorder (ADHD) is a common and impairing neurodevelopmental disorder, occurring in up to 7% of children and adolescents.<sup>1</sup> Core symptoms include inattention, hyperactivity, and impulsivity. ADHD is also associated with impaired self-regulation and decision making, leading to negative educational outcomes.<sup>1</sup> ADHD symptoms often emerge in preschool-aged children and can be associated with similar behavioral, social, and cognitive impairments as

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seen in older children with ADHD.<sup>1</sup> These ADHD symptoms persist beyond preschool for 70% to 80% of children.<sup>1</sup> ADHD symptoms are associated with poor outcomes across the life span: academic and/or occupational difficulties, trouble maintaining friendships and other relationships, criminality, and substance use.<sup>1</sup> In preschool-aged children, the American Academy of Pediatrics recommends evidence-based behavioral therapy as first-line treatment.<sup>2</sup> Common behavioral interventions include parent education, visual schedules, and classroom accommodations.

Exercise and mindfulness practices (e.g., meditation) may be beneficial for school-aged children with ADHD.<sup>3,4</sup> Aerobic exercise improves parent and/or teacher ratings of childhood ADHD symptoms, executive function, sustained attention, disruptive behaviors, and response inhibition.<sup>3</sup> Emerging evidence suggests that physical exercise may be associated with more sustained improvements in ADHD than medications and/or behavioral interventions.<sup>3</sup> Physical exercise game instruction has also been successful in decreasing ADHD severity in preschoolers on parent and teacher rating scales.<sup>5</sup> An additional benefit of implementing these games at home included enhancement of parent-child relationships.<sup>5</sup>

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Similar to benefits associated with exercise for ADHD, there is preliminary evidence of mindfulness training as a complementary form of therapy for ADHD. In school-aged children with ADHD, mindfulness training in conjunction with similar parent training resulted in parent ratings of ADHD behaviors, decreased increased mindful awareness in children, and decreased overreactivity.<sup>4</sup> parenting stress and Α review of mindfulness-based interventions in typical preschoolers described improved self-control through observer ratings and executive functioning performance after 10 hours of Integrated Body-Mind Training.<sup>6</sup> Another study in typical preschoolers found improvements in teacher ratings of social competence and higher grades in social-emotional development after 12 weeks of mindfulness training.<sup>7</sup> Notably, baseline functioning moderated treatment effects-children with initially lower social competence and executive functioning demonstrated larger gains in social competence than controls.<sup>7</sup>

Yoga is a form of movement meditation that incorporates physical postures/poses, breathing practices, and meditation to hone self-regulation skills within the body and mind.<sup>8</sup> Yoga thus combines physical exercise and mindfulness. Previous studies evaluating the effects of yoga demonstrated promising results for school-aged children with ADHD, including improved parent and/or teacher rating scales<sup>9-12</sup> and clinician-completed rating scales.<sup>13</sup> Yoga improved attention through on-task attention<sup>10</sup> and direct observations of "time on task."<sup>12</sup> Parents who practiced yoga with their children reported better management of their own stress and improved parent-child relationships.<sup>11</sup> Promising evidence for the use of yoga with typically developing preschoolers is emerging. Significant gains in self-regulation, particularly for children with low baseline levels of self-regulation, were found through direct assessment in children who received a yearlong daily school yoga intervention versus controls.<sup>14</sup>

Physiology may also be affected by yoga, such as vagal tone, which seems to improve in adults who practice yoga.<sup>15</sup> Heart rate variability (HRV), a measure of the beat-to-beat changes in heart rate, reflects the activity of the vagus nerve.<sup>16</sup> The degree of HRV is associated with physiologic resiliency, self-regulatory capacity, and performance on tasks of executive function.<sup>16</sup> Compared with healthy controls, children with ADHD have higher mean heart rates and, unmedicated, have significantly lower HRV.<sup>17</sup>

The primary aim of this study was to explore the efficacy of practicing yoga for 6 weeks on behavioral symptoms, attentional control, and HRV. The secondary aim was to assess the maintenance of the intervention effects over time. We hypothesized that participation in yoga for 6 weeks would lead to improvements in ADHD symptoms rated by parents and teachers, scores on attention tasks, and increases in HRV.

## **METHODS**

## **Participants**

Children 3 to 5 years of age with 4 or more attentiondeficit hyperactivity disorder (ADHD) symptoms rated by teachers or parents on the ADHD Rating Scale-IV (ADHD RS-IV) Preschool Version<sup>18</sup> were recruited from a local urban, community-based preschool. We chose 4 or more symptoms as our cutoff to catch children at high risk of ADHD, but did not require a diagnosis of ADHD, as it is often not diagnosed in preschoolers. Non-English-speaking children or those with a medical condition precluding them from safely participating in yoga were excluded. Recruitment included posting flyers at the preschool and meeting with teachers and parents to discuss the study. This study was approved by the institutional review board. Written informed consent was obtained from the parents and teachers of the children enrolled.

## Design

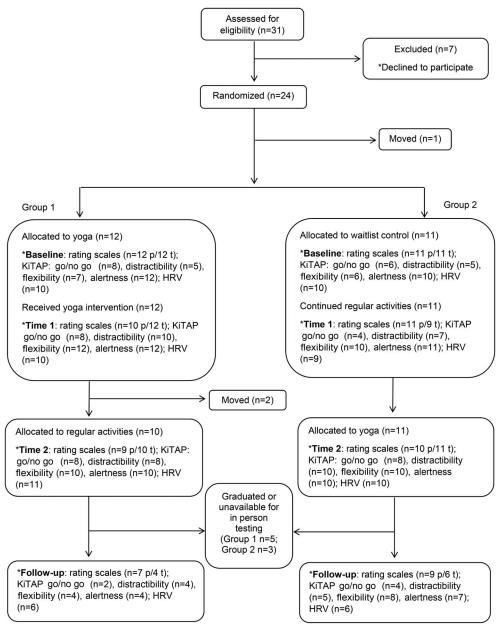
We conducted a mixed-methods randomized waitlistcontrolled trial of a 6-week home- and school-based children's yoga intervention. Behavioral symptoms were evaluated using 2 parent and teacher rating scales: ADHD RS-IV Preschool Version<sup>18</sup> and Strengths and Difficulties Questionnaire<sup>19</sup> (SDQ). Attention was measured by computer-based tasks using the Kinder Test of Attentional Performance (KiTAP).<sup>20</sup> We used heart rate variability (HRV) as a physiologic index of self-regulation.<sup>16</sup> Qualitative data were also collected through questionnaires and focus groups and/or interviews with parents, teachers, and the yoga instructors after each 6-week yoga intervention (not included here).

To maximize the frequency of yoga, the intervention occurred at home and school. During the first 6 weeks, students in Group 1 practiced school yoga in a separate room from their classroom and completed home yoga with parent encouragement using a yoga DVD featuring the same protocol. Group 2 (waitlist control) continued their regular classroom and home activities without exposure to yoga. During the second 6 weeks, the groups switched. Based on the teachers' feedback after the first 6-week intervention, school yoga for Group 2 was moved into the classroom as part of their morning routine; home yoga practice was the same. Group 1 stopped receiving school yoga (aside from 3 students in the classroom where school yoga was taught for Group 2; however, they were not included in the analysis for Time 2). See Figure, Supplemental Digital Content 1, http:// links.lww.com/JDBP/A171, for the study timeline.

Rating scales, KiTAP scores, and HRV were collected from the children at 4 time points: baseline, Time 1 (Group 1 completed yoga), Time 2 (Group 2 completed yoga), and follow-up (3 months after Time 2). See Figure 1, a participant flowchart from randomization through follow-up, for details. During each intervention period, the yoga teachers completed time on task ratings for each student after school yoga classes. They also completed

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**Figure 1.** Participant flowchart of the study depicting both the number of children included in each group over the course of the study and the sample sizes (n) available for the analysis of each outcome measure: parent (p) and teacher (t) rating scales, KiTAP subtests, and heart rate variability (HRV). KiTAP, Kinder Test of Attentional Performance.

Yoga Fidelity Measures after each yoga class. While participating in the intervention, parents completed daily yoga logs through e-mail or hard copy to determine the frequency of yoga practice for each child. After each 6week yoga intervention, parents and teachers completed Perception and Satisfaction Questionnaires.

## Intervention

#### School Yoga

Five months before starting the study, 2 yoga instructors completed an intensive 3-day training with Tiffany Gullberg, owner and founder of If I Was a Bird Yoga<sup>TM</sup>. Leading up to the study, yoga teachers and assistants participated in monthly group practice sessions and more frequent home practice using yoga videos created for the study. During the study, 30-minute group yoga sessions were held twice a week at the preschool, led by trained children's yoga instructors and 1 to 2 assistants, using a manualized curriculum from If I Was a Bird Yoga<sup>TM</sup> (see Table, Supplemental Digital Content 2, http://links.lww. com/JDBP/A172, for the protocol). The sequence of breathing exercises and poses was consistent over the 6-week intervention. We created 3 themes (Ocean, Jungle, and Space Yoga Adventures) to help keep the children engaged; each theme was implemented for 2 weeks.

During school yoga for Group 1, the children practiced away from the structure of their classroom. In this environment, some children, especially those with significant hyperactive/impulsive symptoms, became disruptive (running, climbing, and hitting), which resulted in being

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sent back to the class to ensure the safety of the other children. Based on the teachers' feedback, Group 2's school yoga exercises were taught within the classroom setting along with typically developing peers, where classroom teachers and aides assisted in managing behaviors and enforcing rules.

#### Home Yoga

To maximize yoga practice, parents were given a yoga DVD (also available online), featuring the same 30-minute yoga protocol themes. Home practice occurred on days the children did not practice at school. Children with persistent challenges participating in school yoga classes were asked to practice yoga daily at home, as some children complied better in more intimate settings.

#### Measures

#### Parent and Teacher Rating Scales

The ADHD RS-IV Preschool Version includes 18 developmentally appropriate statements based on ADHD symptoms defined in *Diagnostic and Statistical Manual of Mental Disorders* (Fourth Edition) (Text Revision) (*DSM-IV-*TR).<sup>18</sup> It includes Inattentive and Hyperactive/Impulsive subscales and a total symptom scale. The scale screened and monitored response to the intervention.

The SDQ includes 25 developmentally appropriate statements divided into 5 subscales: Emotional Symptoms, Conduct Problems, Hyperactivity/Inattention, Peer Problems, and Prosocial Behaviors.<sup>19</sup> There is a total difficulty score and a prosocial scale score.

# KiTAP Test of Attentional Performance for Children

The KiTAP<sup>20</sup> is a computer-administered child-friendly test with the theme of an enchanted castle. Four tasks (alertness, distractibility, flexibility, and go/no go) are feasible to administer and reliable for a mental age of 3+ years and are correlated with behavioral ratings of hyperactivity and attention.<sup>21</sup> We selected measures that were based on the manual or were shown in previously published research to be reliable and valid,<sup>21</sup> including number of correct responses, total errors, omissions, and reaction times to correct responses. At each administration, the children were taught how to do each task and were given up to 3 practice tests. If more correct responses or equal numbers of correct responses and errors or omissions were achieved, the child completed the actual test recorded by the computer. The test was considered invalid if the child persistently needed redirection to participate. At baseline, many children in both groups did not pass the practice tests or did not finish the actual test, resulting in insufficient data in either group to analyze at baseline. However, at subsequent times, sufficient numbers of children in both groups completed the full tests. Intermittent technical problems with the go/no-go task prevented the program from capturing data for some children: 4 at baseline, 7 at Time 1, 3 at Time 2, and 5 at follow-up.

## Heart Rate Variability

The emWave<sup>®</sup> Coherence System by HeartMath measured HRV using a photoplethysmography optical sensor placed on subjects' earlobes. We used emWave to extract R to R intervals and Kubios<sup>22</sup> to analyze HRV data. Automated artifact correction was set at a threshold of 0.35 seconds. HRV indices of interest were the SD of beat-to-beat intervals, root mean square of successive differences, high-frequency (HF), and the low-frequency/ HF ratio. Because of a positive skew, HF HRV was log transformed before analyses. HRV was measured while the children listened to stories (resting condition) and during the KiTAP (an inhibitory control/attention task). The results presented will focus on HRV measured during the story.

## **Yoga Instructor Ratings**

A 7-point Likert scale of time on task was rated by the yoga instructor and/or assistant for each student after school yoga classes (1 = never on task and 7 = always on task). At the end of each school yoga class, they also completed Yoga Fidelity Measures based on the yoga study protocol (see Table, Supplemental Digital Content 2, http://links.lww.com/JDBP/A172, for the protocol) by crossing out poses or breathing exercises not completed.

## Satisfaction and Perception Questionnaires

At the end of each 6-week yoga intervention, parents and teachers completed Satisfaction (teacher 7 items, parent 9 items) and Perception (teacher 12 items, parent 14 items) questionnaires. Some questions used semantic differential sliding scales between 0 and 100 (e.g., not improved = 0 and greatly improved = 100); other questions were multiple choice.

## **Yoga Frequency**

Parents completed daily yoga logs through e-mail or hard copy to determine the frequency of yoga practice for each child. The daily yoga log included 1 to 2 multiple-choice questions (Did your child practice yoga today? If not, what were the barriers to practicing?).

## **Statistical Analysis**

Assuming precorrelations/postcorrelations of 60%, our target sample size was 30 subjects per group to estimate treatment effects with margins of error of 0.41 SDs and to provide 80% power (with 2-sided alpha = 5%) to detect between-group effects as small as 0.59 SDs. However, we were only able to recruit 23 children (79% of the students eligible for the study). We were not able to expand the study to other preschools because of limitations in time and financial resources. With this sample size, assuming an alpha of 0.05 and a 2-sided test, the minimum detectable difference with 82% power was 1.2 SDs. The primary assessment of treatment effects was estimated by comparing treatment and control groups on mean outcomes at Time 1 using analysis of covariance with the baseline values used as the covariate (when available). When the assumption of parallel groups was violated, an interaction between group and baseline values was included in the model. Secondary analyses included a within-group contrast to estimate the

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Child Demographics         Group 1 (n = 12)         Group 2 (n = 11) $p$ Mean age in months $\pm$ SD         52 $\pm$ 7         46 $\pm$ 10         0.14           Male (raw count; %)         8; 67         7; 64         1.00•           Non-Hispanic (raw count; %)         9; 90         7; 78         0.72•           Race (raw count; %)         1.00•         1.00•           White         5; 42         5; 46         1.00•           African American         5; 42         4; 36	Their Parents by Group					
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ADHD diagnosis (raw count)       1       1.00         ADHD medication (raw count)       1       0       1.00         ADHD medication (raw count)       1       0       1.00         Child baseline characteristics       1       0       1.00         SDQ—parent rating (mean $\pm$ SD)°       3.0 $\pm$ 2.4       0.03         Emotional problems       1.1 $\pm$ 0.8       3.0 $\pm$ 2.4       0.03         Conduct problems       2.9 $\pm$ 1.8       3.5 $\pm$ 2.0       0.48         Hyperactive inattentive       6.2 $\pm$ 2.5       4.5 $\pm$ 2.8       0.14         Peer problems       1.5 $\pm$ 1.2       3.1 $\pm$ 2.9       0.13         Prosocial       7.4 $\pm$ 1.8       8.4 $\pm$ 1.8       0.22         Total score       11.7 $\pm$ 4.4       14.1 $\pm$ 7.4       0.37         SDQ—teacher rating (mean $\pm$ SD)       6.6 $\pm$ 0.9       1.9 $\pm$ 2.0       0.07         Conduct problems       0.6 $\pm$ 0.9       1.9 $\pm$ 2.0       0.47         Hyperactive inattentive       7.0 $\pm$ 3.0       6.2 $\pm$ 3.2       0.53         Peer problems       1.8 $\pm$ 1.1       2.1 $\pm$ 2.5       0.76         Prosocial       5.8 $\pm$ 2.6       6.6 $\pm$ 1.6       0.39         Total score       13.5 $\pm$ 5.4       10.7 $\pm$ 5.7	Third year	1; 9	2; 18			
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Hyperactivity $13.3 \pm 5.3$ $10.7 \pm 5.7$ $0.28$ Total score $21.7 \pm 9.1$ $19.9 \pm 10.3$ $0.66$ ADHD—teacher rating (mean $\pm$ SD) $11.6 \pm 6.8$ $11.9 \pm 6.2$ $0.91$						
Total score $21.7 \pm 9.1$ $19.9 \pm 10.3$ $0.66$ ADHD—teacher rating (mean $\pm$ SD) $11.6 \pm 6.8$ $11.9 \pm 6.2$ $0.91$	Inattention	$8.4 \pm 4.7$	$9.2 \pm 4.8$	0.70		
ADHD—teacher rating (mean $\pm$ SD) Inattention 11.6 $\pm$ 6.8 11.9 $\pm$ 6.2 0.91	Hyperactivity	$13.3 \pm 5.3$	$10.7 \pm 5.7$	0.28		
(mean $\pm$ SD) Inattention 11.6 $\pm$ 6.8 11.9 $\pm$ 6.2 0.91	Total score	$21.7 \pm 9.1$	$19.9 \pm 10.3$	0.66		
(Table continues)	Inattention	$11.6 \pm 6.8$	$11.9 \pm 6.2$	0.91		
			(Table con	tinues)		

Table 1.	Demographic and Baseline Characteristics of the Children and	
Their Par	ents by Group	

Table 1. Continu	ued
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Child Demographics	Group 1 (n = 12)	Group 2 (n = 11)	þ
Hyperactivity	$13.0 \pm 8.7$	$12.5 \pm 7.9$	0.90
Total score	$24.6 \pm 13.7$	$24.4 \pm 12.4$	0.98
HRV (mean $\pm$ SD)	(n = 10)	(n = 9)	
HF (ln)	$7.9 \pm 0.8$	7.5 ± 1.3	0.44
RMSSD	$101.1 \pm 24.6$	$90.8 \pm 29.0$	0.41
SDNN	$97.4 \pm 38.3$	$86.9 \pm 45.6$	0.59
LF/HF ratio	$1.9 \pm 1.7$	$1.5 \pm 1.2$	0.63
Parent demographics			
Parent age (raw count; %)			1.00♦
18–24 yr	1; 8	1; 9	
25–34 yr	5; 42	4; 36	
35–44 yr	5; 42	6; 55	
45–54 yr	1; 8	0; 0	
Parent education (raw count; %)			1.00♦
High school or less	3; 27	4; 36	
Secondary education	8; 73	7; 64	
SES (raw count; %)			1.00♦
Preschool grant/voucher	6; 55	6; 55	
Tuition paying	5; 46	5; 46	
Caregivers in home (raw count; %)			1.00♦
One	3; 33	3; 27	
Two or more	6; 67	8; 73	

Baseline KiTAP values are not included because there were not enough data to analyze meaningfully. One individual in Group 1 was American Indian/African American, and 1 individual in Group 2 was Asian/African American.<sup>^</sup>, missing for 1 participant in Group 2; ◆, Fisher's exact test; ADHD, attention-deficit hyperactivity disorder; HRV, heart rate variability; KITAP, Kinder Test of Attentional Performance; LF/HF, low frequency/high frequency; In, natural logarithm; RMSSD, root mean square of successive differences; SDNN, SD of beat-to-beat intervals; SDQ, Strengths and Difficulties Questionnaire; SES, socioeconomic status.

treatment effect in Group 2 (T2-T1) and an evaluation of treatment durability in Group 1 (follow-up—T1). If baseline data were unavailable (i.e., in analyses of the KiTAP), a *t* test was used to test differences between groups. Greek letters (e.g.,  $\beta$ ) are used to represent unstandardized model parameters. Feasibility and acceptability of the yoga intervention based on the Perceptions and Satisfaction Questionnaires were analyzed using Wilcoxon rank-sum tests. Data are presented as mean ± SD unless otherwise stated. Intention-to-treat analysis was implemented throughout. All analyses were conducted using SAS software version 9.4<sup>23</sup> or R version 3.2.2.<sup>24</sup>

## RESULTS

## Demographics

A total of 23 students were recruited and randomized to Group 1 (yoga first; n = 12) or Group 2 (waitlist

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control, yoga second; n = 11). There were no differences between the groups based on their demographic data or baseline scores on most outcome measures (Table 1). One child in Group 2 had autism spectrum disorder.

## **Parent/Teacher Rating Scales**

At baseline, there were no significant differences between the groups for parent-rated or teacher-rated scores on the ADHD Rating Scale-IV (ADHD RS-IV) Preschool Version. On the baseline Strengths and Difficulties Questionnaire (SDQ) subscales, Group 2 had significantly higher parent-rated emotional problems (95% confidence interval [CI], -3.6 to -0.2). Significant time effects were observed for the parent-rated SDQ total score (F = 5.8, p = 0.008), teacher-rated SDQ conduct scale (F = 4.9, p = 0.02), and teacher-rated inattention on the ADHD RS-IV (F = 4.8, p = 0.02), but not for the other outcomes (F = 0.2-2.9). Similarly, significant time by group interactions were observed for the parent-rated SDQ hyperactive-inattentive scale (F = 5.2, p = 0.01), teacherrated SDQ conduct scale (F = 6.2, p = 0.007), teacherrated SDQ prosocial scale (F = 10.3, p < 0.001), and parent-rated inattention on the ADHD RS-IV (F = 6.8, p =0.004), but not for the other outcomes (F = 0.3-2.5).

At Time 1, differences in mean rating scale scores between the groups depended on baseline scores for the parent-rated SDQ hyperactive-inattentive scale and inattention on the ADHD RS-IV. Particularly, children with parent-rated SDQ hyperactive-inattentive scale scores of 8.5 at baseline in Group 1 had 2-point lower ratings, on average, at Time 1 than similar children in Group 2 ( $\beta = -2.1$ , SE = 1.0, p = 0.04, 95% CI, -4.0 to -0.1). Similarly, children in Group 1 with baseline ADHD inattention score ratings of 17 had 4-point lower ratings at Time 1 than similar children in Group 2 ( $\beta = -4.4$ , SE = 1.7, p = 0.02, 95% CI, -7.9 to 0.9) (Fig. 2). The total scores on both scales and the other subscales did not differ between the groups at Time 1. Within Group 2, parent-rated SDQ total scores ( $\beta = -2.5$ , SE = 0.7, p = 0.002, 95% CI, -4.0 to 1.0) decreased after the yoga intervention (Time 2-Time 1). However, scores on the parent-rated ADHD RS-IV hyperactivity subscale increased after the yoga intervention ( $\beta = 2.2$ , SE = 0.9, p = 0.03, 95% CI, 0.3-4.1) in Group 2. There were no other differences observed in Group 2 after the intervention.

In Group 1, improvements noted for children with a baseline parent-rated SDQ hyperactive-inattentive score of 8.5 at Time 1 continued at follow-up, with scores of another 1.5 points lower, on average ( $\beta =$ -1.5, SE = 0.4, p < 0.001, 95% CI, -2.2 to -0.7). Although no effects of the yoga intervention were observed at Time 1 on the teacher-rated prosocial SDQ subscale, scores were higher (better on this scale) in Group 1 at follow-up than at Time 1 ( $\beta =$  1.3, SE = 0.4, p = 0.003, 95% CI, 0.5-2.1). No other differences were observed between follow-up and Time 1.

## **KiTAP Tasks of Attention**

At Time 1, comparisons between Groups 1 and 2 showed that Group 1 had faster reaction times for correct responses on the go/no-go subtest (t(12) =-3.0, p = 0.01, 95% CI, -371.1 to -59.1, d = -1.7). The comparisons of the other subtests at Time 1 were not significantly different between the groups: flexibility (t(20) = -2.0, p = 0.06, 95% CI, -1123.1 to 12.8, d = -0.9), alertness (t(21) = -2.0, p = 0.06, 95% CI, -509.1 to 13.9, d = -0.9), and distractibility (t(15) =-2.0, p = 0.07, 95% CI, -398.4 to 15.1, d = -1.0). Group 1 also had fewer distractibility omission errors at Time 1 (t(15) = -3.0, p = 0.009, 95% CI, -14.2 to -2.3, d = -1.5), but also committed significantly more commission errors on the distractibility subtest (t(15) =2.6, p = 0.02, 95% CI, 1.4-14.8, d = 1.3). Significant differences on commission errors were not found on other subtests (Fig. 3). No differences were found for variability of reaction times on the alertness subtest or

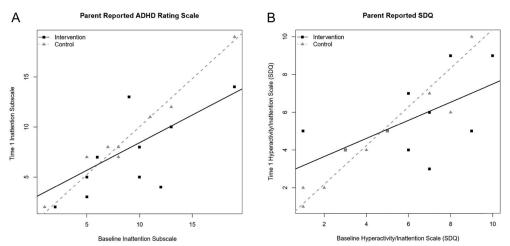
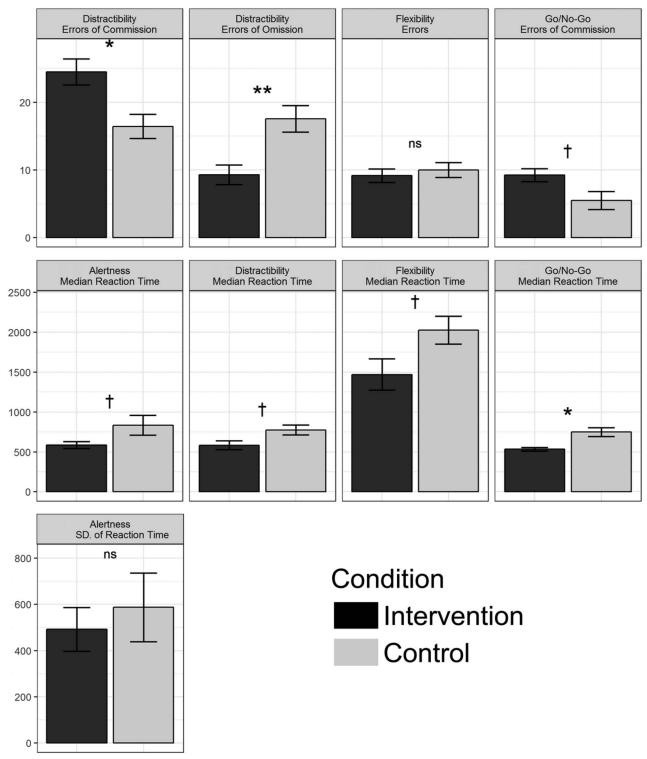


Figure 2. Parent-reported behaviors on the inattention subscale of the (A) ADHD Rating Scale-IV Preschool Version and (B) Hyperactivity/Inattention subscale of the Strengths and Difficulties Scales at baseline and Time 1. ADHD, attention-deficit hyperactivity disorder; SDQ, Strengths and Difficulties Questionnaire.

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**Figure 3.** KiTAP performance at Time 1 (postintervention for Group 1) significantly or marginally differed on numerous tests. For symmetry, go/no-go errors of omission were omitted from this figure; differences between groups on this task outcome were not significant, p = 0.894.  $\ddagger p < 0.10$ , \*p < 0.05, \*\*p < 0.01. ns, non-significant; KiTAP, Kinder Test of Attentional Performance.

errors on the flexibility subtest, p's > 0.58. Covarying for age or ADHD severity did not affect the significance of these results.

Changes in scores for Group 2 (Time 2-Time 1) were not significantly different. However, controlling for age at baseline led to some significant results. Specifically,

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there were significant improvements in go/no-go reaction times ( $\beta = -113.7$ , SE = 47.0, p = 0.04, 95% CI, -223.9 to -3.5). Comparisons on the alertness subtest were not significantly different for reaction times ( $\beta =$ -148.4, SE = 71.7, p = 0.07, 95% CI, -311.4 to 14.5) or variability of reaction times ( $\beta = -215.7$ , SE = 102.9,

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p = 0.06, 95% CI, -442.0 to 10.6). Controlling for ADHD severity at baseline did not reveal significant improvements in go/no-go reaction time ( $\beta = -109.5$ , SE = 47.6, p = 0.06, 95% CI, -222.0 to 3.1), alertness reaction time ( $\beta = -139.9$ , SE = 70.1, p = 0.08, 95% CI, -297.5 to 17.7), or alertness variability in reaction times ( $\beta =$ -225.6, SE = 104.9, p = 0.06, 95% CI, -458.2 to 6.9). We had insufficient data from Group 1 at follow-up to make any comparisons with confidence.

## **Heart Rate Variability**

At baseline, there were no significant differences in heart rate variability (HRV) between Groups 1 and 2 (*t*'s < 0.84, *p*'s > 0.41). At Time 1 (Fs < 1.84, *p*'s > 0.19) and follow-up (Fs < 0.59, *p*'s > 0.43), none of the HRV measures we assessed significantly differed between groups, controlling for each respective measure at baseline. Similarly, within Group 2 after the yoga intervention (Time 2–Time 1), none of the HRV measures we assessed significantly differed.

## **Yoga Instructor Ratings**

#### Time on Task

The mean time-on-task ratings of Group 1 (3.9  $\pm$  1.8) were similar to those of Group 2 (3.8  $\pm$  1.2), indicating that on average, students were rated as being "occasionally on task" during school yoga. Over the course of the intervention, the mean time-on-task ratings remained stable in both groups ( $\beta = -0.02, p = 0.6, 95\%$  CI, -0.08 to 0.04). When considering ADHD symptoms, defined as the average of parent and teacher ADHD RS-IV ratings, children with higher symptoms on the total score or the hyperactive/impulsive and inattention subscales had lower time on task ratings initially (p < 0.05). Children with higher symptoms improved slightly over time, although this improvement was not statistically significant (see Figure, Supplemental Digital Content 3, http://links.lww.com/JDBP/A173, for graphs). Children with symptom scores of 1 SD below the mean (low symptoms) declined in time on task over the course of the intervention (total ADHD rating:  $\beta = -0.08$ , p = 0. 007, 95% CI, -0.15 to -0.02; hyperactive/impulsive:  $\beta = -0.07$ , p = 0.006, 95% CI, -0.13 to -0.02; and inattention:  $\beta = -0.08$ , p = 0.01, 95% CI, -0.14 to -0. 02). Children with average symptoms remained stable over time.

#### Fidelity

Each part of the school yoga classes were completed with rates of 63% to 100%. The poses missed most often in both groups included bird-dog, chair, airplane, and happy baby.

## **Satisfaction and Perception Questionnaires**

Parent satisfaction and perception ratings were not significantly different between the groups. Many parents were satisfied with behavior changes they noticed after yoga (Group 1:  $80 \pm 23$  and Group 2:  $67 \pm 30$ ) and felt that learning yoga helped their children learn

self-calming skills (Group 1:  $85 \pm 23$  vs Group 2:  $82 \pm 20$ ). Overall parent satisfaction with the program was promising (Group 1:  $75 \pm 39$  vs Group 2:  $72 \pm 30$ ), and most would recommend the program to others (Group 1:  $74 \pm 43$  vs Group 2:  $78 \pm 32$ ). In both groups, most parents did not perceive changes in their children's appetite, sleeping patterns, mood, and ability to handle transitions. Most parents also did not perceive changes in their stress level or parent-child relationships.

Teacher satisfaction and perception ratings were also not significantly different between the groups: behavioral improvements (Group 1:  $28 \pm 31$  vs Group 2:  $59 \pm$ 25; p = 0.10), teacher-student relationships (Group 1:  $61 \pm 16$  vs Group 2:  $64 \pm 23$ ), and overall satisfaction with yoga (Group 1:  $50 \pm 22$  vs Group 2:  $78 \pm 22$ ; p = 0.15). After each intervention, teachers rated that they would recommend the program to others at similar rates (Group 1:  $73 \pm 22$  vs Group 2:  $78 \pm 22$ ).

#### **Yoga Frequency**

There were 42 possible days to practice yoga during each intervention period. Overall, the children in both groups practiced yoga approximately 50% of the possible days (mean days of practice: Group 1:  $25 \pm 14$  and Group 2:  $20 \pm 8$ ), which averaged 3 to 4 days of yoga per week. None of the parents reported continuing to practice home yoga after their intervention period ended.

## DISCUSSION

In our study, yoga led to modest improvements on selective parent ratings of attention-deficit hyperactivity disorder (ADHD) symptoms and an objective measure of attention, but not our physiological measure (heart rate variability [HRV]). Parent ratings of inattentive symptoms on the ADHD Rating Scale-IV Preschool Version and hyperactive-inattentive symptoms on the Strengths and Difficulties Questionnaire (SDQ) were significantly improved on average after yoga for children with high baseline scores. These results raise questions regarding which ADHD symptoms respond best to yoga. Published theories about the underlying mechanisms of yoga draw connections between yoga and increases in mind-body awareness that lead to improvements in attention.<sup>25</sup> Thus, inattentive symptoms may selectively decrease after practicing yoga, as seen in our study of children with higher baseline inattention symptoms. However, it is important to note that teacher ratings of inattentive symptoms did not significantly improve after practicing yoga. This may be because teachers are trying to manage a busy classroom and are less likely to notice inattentive symptoms, as these symptoms are generally not disruptive. This is likely the same reason that children with predominantly inattentive symptoms are diagnosed with ADHD at school age,<sup>26</sup> once inattention begins to affect academic achievement. These results also suggest that children with more significant symptoms at baseline and/ or children with inattentive symptoms show more

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dramatic improvements after practicing yoga. These findings are consistent with the study of yoga in typically developing preschoolers, which found that children with fewer self-regulation skills benefited most from yoga.<sup>14</sup> At follow-up, parents did not report continued yoga practice; however, children in Group 1 with higher baseline scores had continued improvements, on average, on parent ratings of SDQ hyperactive-inattentive scores, indicating that practicing yoga may have some lasting benefits. This is consistent with the finding from the Multimodal Treatment of Attention Deficit Hyperactivity Disorder study regarding behavioral therapies.<sup>27</sup> They found that when behavioral therapies for ADHD are discontinued, ADHD symptoms remain stable because of the generalization of the practices learned, whereas when ADHD medications are discontinued, symptoms generally worsen but do not return to baseline.<sup>27</sup>

Using the Kinder Test of Attentional Performance (KiTAP), an objective measure of attention, we found that children in Group 1 displayed improved attention with significantly better reaction times on correct responses for the go/no-go task and fewer omissions on the distractibility task after the intervention than children in the waitlist condition. Unexpectedly, at Time 1, Group 1 showed higher impulsivity after yoga, with significantly more commission errors on the distractibility task. Thus, although children evidenced improved sustained attention after the intervention, they also evidenced greater impulsivity on this computerized measure. We were not able to account for baseline scores on the KiTAP because of insufficient data; however, we believe that these findings are still notable and support our hypothesis that yoga improves attention. The scores on the KiTAP tasks align with the parent ratings, although they were not limited to children with more severe ADHD symptoms.

When we controlled for age, Group 2 also evidenced faster reaction times on correct responses for the go/nogo task after yoga. Limited data were available to analyze both groups at follow-up because of preschool graduation or changes in preschool.

None of the measures of HRV displayed significant differences between groups at baseline, Time 1, or within Group 2 at Time 2, which could be related to our small sample size and short intervention period.

On average, both groups were rated as being "occasionally on task" for school yoga sessions over the 6 weeks. The poses most often missed in both groups required significant balance and coordination. In future studies, these poses could be excluded or taught gradually, allowing time to build the balance and coordination required.

Based on the parent and teacher satisfaction questionnaires, both parents and teachers were satisfied with the intervention. The change in the location of school yoga introduced variation in instruction between groups, although the yoga itself did not change. This variation did not lead to significantly different ratings of satisfaction, but teachers verbalized to us that the school yoga worked better within the classroom, which may be helpful for planning future research in the preschool setting.

The findings of our study are similar to those of the study by Jensen and Kenny<sup>9</sup> who performed a randomized control crossover study of the effects of yoga in school-aged boys with ADHD and found improvements on the parent rating scales but not teacher rating scales. Harrison<sup>11</sup> also found improvements on parent ratings, but did not include teacher ratings in their study, and Haffner et al.<sup>10</sup> found improvements on parent ratings but not on teacher ratings. Mehta et al.<sup>28</sup> found improvements on both parent and teacher ratings. Causes proposed for the nonsignificant teacher rating scales included the use of ADHD medications during the school day and changes in the teachers who completed the rating scales during the study<sup>9</sup>; however, most children in our study were not taking ADHD medications. Importantly, over the course of our study, there were some changes in teachers who completed rating scales, which may have contributed to not finding differences in teacher ratings.

We had several limitations and challenges in this pilot study, which included a smaller sample size than desired based on power calculations, short intervention period, missing data due to unreturned or incomplete rating scales, inability of some children to complete the full tests for some KiTAP tasks, refusal of some children to wear the emWave<sup>®</sup> to measure HRV, change in the location of school yoga between groups, and changes in teachers rating the participants' behavior. Many mindfulness and yoga interventions lack randomized assignment, statistical power, active control groups, and holistic measurement approaches.<sup>29</sup> The strength of our study is its randomized design. Moreover, by including parent and teacher reports of children's behavior, clinical assessments, and a physiological index of self-regulation, as well as qualitative data documenting the perceived changes and feasibility of the yoga intervention, our study is 1 of the first in the field to contribute to a holistic measurement approach.

In conclusion, our study suggests that yoga practice improves parent ratings of inattention and combined symptoms for a subset of children with higher ADHD symptoms at baseline, but not for the group overall, or for teacher ratings. We also found that yoga improved reaction times and decreased omission errors on some tasks of attention, which are less subjective than parent/ teacher rating scales, and is a promising sign of the impact of yoga on improved attention. We did not see significant changes in HRV after yoga, although our study was underpowered and of short duration. Importantly, we learned that yoga was feasible and well liked by parents and teachers. This study adds to the growing body of literature, suggesting yoga as an intervention to address attentional symptoms in ADHD, while also including suggestions to guide future yoga studies in this age group. Larger studies with an active control

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condition are needed to evaluate if subgroups with higher baseline ratings or symptom subtypes respond better to yoga. As more evidence supporting the use of yoga for children with ADHD symptoms is gathered, yoga may be considered an additional intervention to improve attention.

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